



VICE CHANCELLOR FOR RESEARCH OFFICE
Exceptional Principal Investigator Status Justification Form

Applicant Name: _____ Dept./Unit: _____

Unit head and dean attest to the following:

- The investigator has primary responsibility for design, execution, and management of the project and will be involved in the project in a significant manner.
- The sponsoring department/unit has committed to continue the investigator’s appointment for the duration of the grant and will provide resources.
- The proposed project is sufficiently important to the achievement of educational, research, or public service goals of the submitting unit and of the University of California, to justify an additional focus of independent project development and the explicit or implicit allocation of University resources, given competing requirements especially those necessary for the administering unit.
- The support of graduate students and/or postdocs in the conduct of their research, and/or the participation of undergraduate students in the research project, should be a component of the project or program for which the eligibility exception is being requested. **If not, include justification below.**
- Individuals recommended for Principal Investigator status on a research project must possess qualifications which satisfy the general standards of research competence appropriate to the professorial rank and step comparable to the non-Senate academic appointment held by the candidate. Recommendations for service as Project Director on a training or public service project will be evaluated in terms of individual competence in the program area.

Provide in space below **or** in an attached document a detailed justification for exception, addressing the candidate’s qualifications in accordance with items 4(a) through 4(d) of the Principal Investigator Status

Policy: <https://vcresearch.berkeley.edu/research-policies/principal-investigator-status>.

Chair/Director PRINT NAME

Dean PRINT NAME

Chair/Director SIGNATURE Date

Dean SIGNATURE Date